



OFFICE OF RESEARCH

ONE SHIELDS AVENUE
DAVIS, CALIFORNIA 95616-8671

June 24, 2015

CHAIR ANDRÉ KNOESEN
Academic Senate

RE: Disestablishment of the ORU - Institute for Governmental Affairs (IGA)

Dear Chair Knoesen:

Thank you and all of the senate committees that have reviewed and provided feedback to our proposal for sunseting IGA. We endorse the hybrid process you outlined in your letter dated May 22, 2015.

Per your request we submit the attached proposal which provides: the background history, the transition of IGA to the Division of Social Sciences, request to disestablish the Organized Research Unit IGA, and the IGA budget resolution. We believe this fulfills all the requirements for the disestablishment of IGA as an Organized Research Unit.

Thank you for your time and consideration.

Sincerely,

A handwritten signature in blue ink, appearing to read "George R. Mangun".

George R. Mangun, Dean
Division of Social Sciences

A handwritten signature in blue ink, appearing to read "Nancy Bulger".

Nancy Bulger, Ph.D.
Executive Director of Research Development

Attachment: Disestablishment of IGA Proposal
IGA Disestablishment Response 5/22/15

cc: Vice Chancellor Harris Lewin
Associate Vice Chancellor Paul Dodd
Assistant Dean Steven Roth
Executive Director Gina Anderson
Director Delanda Buchanan
Fiscal Officer Nancy McLaughlin
Program Coordinator Christine Parks

June 10, 2015

Request for Disestablishment of ORU Institute for Governmental Affairs

Submitted by: George R. Mangun, Dean of Social Sciences on behalf of the College of Letters and Science and the Nancy Bulger, Executive Director of Research Development on behalf of the Office of Research

I. Background

The Institute for Governmental Affairs (IGA) at UC Davis was created in 1962 with the expectation that it perform research for state government. Because the state developed its own internal research capabilities, by 1984, the mission had changed and IGA had become an umbrella agency with administrative responsibility for all organized research in the core social sciences. It also broadened its focus from State of California issues to supporting a broader range of social science research, including public policy-relevant research of national and international importance.

By 2007, IGA's mission had evolved to promoting "research, graduate training, and public service in the social sciences," which included serving as a locus for interdisciplinary activities in the social sciences. It provided an array of specialized support services not available elsewhere on campus and targeted to the needs of social sciences researchers.

The funding for IGA largely came through the Office of the Provost and the Office of the Vice Chancellor for Research, and also from the Division of Social Sciences.

The key programs, services, and initiatives that IGA offered to social sciences faculty and graduate students included:

- A "home" for new social sciences research programs, which changed and evolved over time, as faculty and research interests changed. Examples of these programs included: Economy, Justice, and Society; the Center for State and Local Taxation; and the Center for International Data, among many others;
- Substantial grant services for both social sciences faculty and graduate students, including notification of new grant opportunities, assistance with the preparation and submission, and support for post-award grant management;
- Facilitation of large, multi-investigator and interdisciplinary research proposals to large granting organizations;
- The Social Science Data Service (SSDS), which provided quantitative research computing support to (primarily) the affiliated departments of economics, political science, and sociology;
- The Library and Data Archive, which administered institutional memberships in external data archives, such as ICPSR and the Roper Center, and purchased other sets of data, such as international trade data;
- Social Science computing, programming, and statistical consulting;
- Funding awards to faculty and students such as:

- The Junior Faculty Research Program, through which junior faculty were awarded small amounts of seed grant money and assisted with efforts to secure extramural research funding
 - Graduate Student Dissertation Improvement Awards
 - Graduate Student Summer Travel awards
 - Undergraduate honors research support
-
- Event coordination and hosting of large conferences; and
 - An ongoing seminar series (sometimes referred to as a “IGA Noon Lectures,”) which gave both outside faculty and UC Davis faculty the opportunity to present research to a diverse campus audience.

The variety of services provided to faculty and students in the social sciences was invaluable and contributed tremendously to the academic life of the division and to the campus as a whole. This was well recognized by former Vice Chancellors for Research and Provosts, who worked closely with the Dean of Social Science to support the activities of IGA.

II. The Transition of IGA to the Division of Social Sciences

The time period between 2008-2011 proved to be a time of change for both IGA and for the campus. The longtime director of IGA stepped down and retired from the university, at the same time that the campus was facing the budget crisis and the budgets for research programs on campus were being reduced. In a letter from former Vice Chancellor Klein to new (now former) IGA Director, Robert Huckfeldt, he stated that he could not guarantee a set amount of funding for IGA over a long period of time. The campus was also considering its policies regarding ORUs and working to repatriate them to the deans, when possible.

As a result of these circumstances, and because of the importance of IGA to the social sciences, in 2009, George R. Mangun, the Dean of Social Sciences, worked with Provost Lavernia and Vice Chancellor Klein to move pieces of IGA to the Division of Social Sciences. The reorganization and budget restructuring of IGA began during the 2010-11 fiscal year. The overall goal was to move funding and activities directed to divisional faculty and students to DSS, while retaining a broader interdisciplinary ORU dedicated to social science research and outreach. The service activities of IGA (grant management, conference and event planning, SSDS and quantitative computing and consulting) were moved to DSS and began to receive partial budgetary support from the dean's office

The “Morphing” of IGA into the Institute for Social Sciences. In 2011, the Division of Social Sciences underwent a strategic planning process, and in that process a faculty committee, the Social Sciences Advisory Committee, chaired by Professor Phil Shaver, recommended the creation of a new Institute for Social Sciences, which would do many of the same activities as IGA, but on a broader scale and in service of the social sciences on campus. IGA, perhaps because of its original focus on public policy, had tended to have the most interaction with faculty from economics, political science, and sociology, and to a lesser extent, history. There were occasionally faculty from agriculture and resource economics and individual social science faculty from around the campus who would participate, but IGA's reach was, at times, more limited.

The mission of ISS would be broad and would include all the disciplines in the social sciences, both within the Division of Social Sciences and across the campus, to create a larger umbrella that would foster interdisciplinary research in the broadest way possible. Dean Mangun began to support the creation of ISS, and worked with the Office of the Executive Vice Chancellor and Provost to secure matching funding for the institute. During this planning process, the directorship of IGA became vacant, and as a result, its activities decreased. Dean Mangun's idea was that this vacancy provided the opportunity to complete the transition of IGA to DSS, and to do so by "morphing" IGA functions into ISS, and change the mission to be a much wider one that could serve all of the faculty in the division but would also extend a reach more broadly across campus.

The Institute for Social Sciences was officially launched in October 2014, and is directed by Joseph Dumit, Professor of Anthropology and Science and Technology Studies. ISS has an assistant director, who is the administrative lead, a part-time writer, a grant writer, a financial analyst, an events coordinator, and it houses the two full-time employees of the Social Science Data Service, which was officially moved under ISS's organizational structure.

ISS is therefore continuing and advancing many of the activities that were provided to faculty and graduate students through IGA. These activities include:

- Grant writing services for social sciences faculty (and, to a more limited extent, graduate students), including notification of new grant opportunities and assistance with grant writing process. The preparation, submission, and post-award management of grants is now housed in the DSS Research Service Center, which is separate from ISS but also funded by the Dean's office;
- Coordination of large, multi-investigator and interdisciplinary research proposals to large granting organizations;
- The Library and Data Archive, which administers institutional memberships in external data archives, such as ICPSR and the Roper Center, and purchased trade data. We have also recently purchased an institutional membership in the California Census Research Data Center at UC Berkeley, which costs \$30,000 per year for an institution but would cost \$15,000 per research project, thereby saving researchers across campus a great deal of money.
- The Social Science Data Service (SSDS), which provided quantitative research computing support to the affiliated departments of economics, political science, and sociology;
- Social Science computing, programming, and statistical consulting;
- Funding awards to faculty and students such as:
 - The Junior Faculty Research Program, through which junior faculty were awarded small amounts of seed grant money and assisted with efforts to secure extramural research funding
 - Graduate Student Dissertation Improvement Awards
 - Graduate Student Summer Travel awards
 - Undergraduate honors research support
- Event coordination and hosting of large conferences; and

- An ongoing seminar series (now called the “ISS Noon Lectures,”) which will give both outside faculty and UC Davis faculty the opportunity to present research to a diverse campus audience.

One of the biggest benefits to social sciences researchers continues to be the Social Science Data Service, which does not overlap with either the services provided by the Library or with the Data Science Initiative, but is collaborative with both. SSDS is now serving faculty and graduate students across the entire Division of Social Sciences, and in the future, with increased staffing, it may be able to serve more researchers across the campus who do quantitative social sciences research. Director Dumit is working in close collaboration with Librarian MacKenzie Smith to ensure that all data services are streamlined and that efforts are not duplicated.

The end result is that the activities so valued by faculty and students that were once conducted by IGA are now supported by ISS. The benefit to the campus and to the social sciences is that those activities are reaching more faculty and students through ISS than was possible under the old structure.

III. Request to Disestablish the Organized Research Unit IGA

In line with UC Davis PPM 220-01 VI B.2, we request that the ORU known as IGA be disestablished. During a one-year period, we will complete the phase out of IGA, and complete remaining financial matters related to staffing and budgeting (see below).

IV. IGA Budget Resolution

In 2010, Ron Mangun, Dean of the Division of Social Sciences (DSS), and Barry Klein, former Vice Chancellor for Research, requested approval for a reorganization and budget restructuring for IGA. The overall goal was to move funding and activities directed to divisional faculty and students to DSS. The following services and activities were moved to DSS, with a total budget of \$258K.

- Pre- and post-award contract and grant management,
- Conference and event planning and coordination,
- Data, hardware and software serves (management and support), and
- Quantitative computing and consulting (management and support).

Enrique Lavernia, former Provost and Executive Vice Chancellor, approved the reorganization and budget restructuring and provided additional funding of \$100K for IGA effective July 1, 2010.

Effective July 1, 2015, the Office of Research will provide a one-time allocation in the amount of \$83,409 to support 50% of the salary and benefit costs for the Librarian position. No further funds will be provided.

May 22, 2015

George R. Mangun
Dean - Social Sciences

Nancy Bulger
Executive Director - Office of Research

RE: Disestablishment of the Institute of Governmental Affairs (IGA)

Following our discussion concerning the status of the Institute of Governmental Affairs (IGA) research unit (ORU), Executive Director Anderson and I worked to identify and consult with key committees regarding a hybrid process to request IGA disestablishment. We consulted the following standing committees, Committee on Elections, Rules, and Jurisdiction (CERJ), Committee on Planning and Budget (CPB) and the Committee on Research (COR). While we have worked to develop a hybrid process for this disestablishment, all committee responses indicated the proposal when received must acknowledge that the campus has not complied with its policy and procedures.

The UC Compendium, which presents university wide review processes for creating and changing academic degree programs, academic units, and research units, was recently revised (2014). That update formalized that all organized research unit actions were to be carried out on the established campuses, specifically: "Actions involving ORUs (Organized Research Units) are carried out on the ten established campuses. That is, creating a new ORU, changing the name of an existing ORU, and consolidating, transferring, or disestablishing an existing ORU are campus decisions for which there is no system-level review. If favorably reviewed by the relevant Divisional Academic Senate committee(s) and approved by the campus administration, a proposed action involving an ORU is implemented."

Our campus policy PPM 220-01, Organized Research was last revised in 1994. The policy states that Presidential approval (and an annual report to the Regents) is required for disestablishment of an ORU. While this statement is no longer consistent with the 2014 Compendium, the language goes on to provide a process for continuation or termination in VI, B:

"2. If it is determined that an ORU is to be disestablished, the phase-out period shall be of sufficient length to permit an orderly termination or transfer of contractual obligations. Normally, the phase-out period shall be limited to one academic year. The President is authorized to approve the disestablishment of ORUs, and reports annually to the Board of Regents on any such actions."

We suggest the following hybrid process, for the disestablishment of IGA: Given that the Compendium language only requires agreement between the Davis Division of the Academic Senate and campus administration, which is to be based on current campus policy and procedure, a proposal to disestablish the IGA could be described as an evolution from a campus funded and managed ORU to a college (Social Science Division) institute funded and managed by the Division of Social Sciences.

The committees are in general favor of this proposed course of action and believe that one year for disestablishment is reasonable. Therefore, you are asked to submit a proposal for disestablishment of the IGA that complies with the PPM 220-01. As stated in our meeting the proposal should provide sufficient history to enable those not familiar with IGA history to evaluate the evolution of it from an ORU. If a transition period of more than one year is required, the proposal should outline why disestablishment cannot be completed within a year accompanied by a timeline for completion.

Additionally, Associate Vice Chancellor Paul Dodd indicated during the April 21, 2015 Committee on Research meeting that there are some loose ends (staffing and budget) that need to be completed before disestablishment of IGA. We expect the proposal to describe all outstanding issues and assure completion of all transactions before the IGA ORU is disestablished.

Please submit the proposal to me at academicsenatechair@ucdavis.edu. Given current workload, the earliest date we may begin review of the proposal is fall 2015.

Sincerely,



André Knoesen, Chair
Davis Division of the Academic Senate
Professor: Electrical and Computer Engineering

c: Vice Chancellor Lewin
Associate Vice Chancellor Dodd