

MEETING SUMMARY  
REGULAR MEETING OF THE REPRESENTATIVE ASSEMBLY  
OF THE DAVIS DIVISION OF THE ACADEMIC SENATE

**Tuesday, April 17, 2012**  
**2:10 – 4:00 p.m.**  
**Memorial Union, MU II**

Page No.

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**1. Summary of the February 28, 2012 Meeting – Unanimously approved**

2. Announcements by the President – None

3. Announcements by the Vice Presidents - None

4. Announcements by the Chancellor

a. State of the Campus – Chancellor Linda P.B. Katehi

**Chancellor Katehi gave her State of the Campus Address (attached).**

5. Announcements by the Deans, Directors or other Executive Officers – None

6. Special Orders

a. Remarks by the Divisional Chair – Linda Bisson

i. Discuss Special Meeting of the Representative Assembly

**The Special Committee will be meeting with the Executive Council on Friday, April 20.**

**A Special Meeting of the Representative Assembly will be called in May to discuss the Special Committee's report.**

7. Reports of Special Committees

a. Report of the Academic Senate Special Committee on Athletics

**MOTION to approve amendments on pages 12 and 13 of the Athletics Report was made and seconded (attached).**

**Vote: 47-0**

**MOTION to receive report was made and seconded.**

**Vote: Unanimously approved.**

**MOTION to endorse recommendations by Special Committee on Athletics was made and seconded.**

**Vote: Unanimously approved**

8. Reports of Standing committees

a. CERJ Bylaw Changes

i. DDR 534: Course Evaluations

\*Consent Calendar. Items will be removed from the Consent Calendar on the request of any member of the Representative Assembly.

All voting members of the Academic Senate (and others on the ruling of the Chair) shall have the privilege of attendance and the privilege of the floor at meetings of the Representative Assembly, but only members of the Representative Assembly may make or second motions or vote.

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	<u>Page No.</u>
<b>MOTION to accept the proposed amendment to add the following wording to the last sentence “and in the selection of course instructors” was made and seconded.</b> <b>Vote: 50-0</b>	
<b>MOTION to approve the regulation was made and seconded.</b> <b>Vote: 50-0</b>	
ii. DDB 56: Committee on Courses of Instruction	35
<b>MOTION to approve the amendment to DDB 56 was made and seconded.</b> <b>Vote: 51-0</b>	
iii. DDB 147: Faculty of the School of Veterinary Medicine	36
<b>MOTION to approve the amendment to DDB 147 was made and seconded.</b> <b>Vote: 50-0</b>	
9. Petitions of Students - None	38
10. Unfinished Business - None	
11. University and Faculty Welfare	
12. New Business	
a. Reynoso Report	
<b>MOTION to thank Justice Reynoso and the Reynoso Task Force for their report on the November 18 incident was made and seconded.</b> <b>Vote: 43-0</b>	
13. Information Item	
a. 2012 Distinguished Teaching Award Recipients (confirmed by the Representative Assembly by electronic ballot on 4.6.12)	40

Ines Hernandez-Avila, Secretary  
Davis Division of the Academic Senate

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State of the Campus  
Tuesday, April 17, 2012  
2:00 p.m. – 4:00 p.m.  
Memorial Union II

[COVER SLIDE – STATE OF THE CAMPUS]

- Hello everyone. It is a pleasure to be here today for my third State of the Campus Speech and to report on the status of this great university.
- Despite the many challenges we face, the state of our campus is strong.
- Like so many great institutions -- around our state, our nation and the world -- we are dealing with shifting economic and political realities, which is never easy.
- But because of the hard work and vision of so many who have come before us, and because of the commitment and devotion of our outstanding faculty and staff, UC Davis remains a **dynamic and outstanding center of higher learning.**

- Together, we have earned a solid reputation as a world-class public research university with a bright future and a clear, well-defined path to even greater heights and accomplishments.
- In the middle of change and tough challenges, it is good to reflect on who we are and remember that we have overcome difficulties in the past and will again in the future

### [SLIDE 2 – MOVING FORWARD]

- Now, before I continue, let me say a few words about the Reynoso report that was finally released last week.
- I am relieved the report is out and everyone can see what happened November 18 and the steps we can take to avoid something like this from occurring ever again.

- The report illuminated clearly and critiqued sharply the missteps within the administration and police which led us to a very unfortunate and regrettable event.
- As I said in November and I repeat now, I take full responsibility for the incident and I consider myself accountable for all the actions that need to be taken to make sure our campus is a safe and welcoming place.
- As the report indicated, we need major reform on a number of fronts, including robust policies and processes, as well as better coordination and communication within the UC Davis senior administration.
- Also, we need reforms in our campus police operations and a holistic top-to-bottom review of the department.

- We will address each of the missteps and any other deficiencies that need attention. But let me say a few things about what is already underway and provide a sense of what lies ahead.
- As the Reynoso report notes, the task force was not asked to conduct official disciplinary reviews of individual officers' actions. **There has been a parallel but independent internal affairs inquiry and that is nearing completion.**
- We also eagerly await conclusion of the Academic Senate's inquiry and the system wide review of police protocols and policies on protests that President Yudof asked UC General Counsel Charles Robinson and UC Berkeley Law Dean Christopher Edley Jr. to head up.

- In the meantime, Matt Carmichael, our acting police chief, in consultation with campus leadership, has identified independent experts to review and update departmental policies and audit training records.
- He is also bringing an internationally recognized expert to campus to lead a forum on police accountability.
- I envision this as a possible first step toward establishing a campus police review commission, which if adopted here would be just the second in the UC system.
- A special committee representing all campus stakeholders, including the Academic Senate, will examine the results of the independent police review and its implications for other possible reforms.

- Our efforts will be transparent and collaborative and I will continue to keep everyone updated. I also welcome your thoughts and ideas as we move forward.
- But please make no mistake about this: We will fix what needs to be fixed and we will be a much better university as a result.
- I want to also mention that November 18 and its aftermath reminded us again of the important role communications plays -- internally and externally -- in a modern university like ours.
- The need to communicate clearly and consistently, in good times or bad, is crucial as we work with all our stakeholders and the broader community to keep UC Davis moving in the right direction.
- **In January, we brought in an experienced communications chief, Barry Shiller, who had**

previously served at UC Santa Cruz, on an interim basis.

- Barry has helped stabilize and sharpen our messaging and has agreed to serve as executive director of strategic communications pending a national search – which will include Barry -- for a permanent director.
- Since my last state of the campus speech a little more than a year ago, we've had many more distinguished accomplishments at our university.
- Accomplishments that have brought additional prestige to UC Davis and made significant contributions to our state, nation and world.

**[SLIDE 3 – DEFINING THE FUTURE OF HEALTH]**

- Last month for instance, the UC Davis Cancer Center was designated a Comprehensive Cancer Center, the world's most prestigious honor in oncology, by the National Cancer Institute.
- It is one of just 41 comprehensive cancer centers in the nation, the only one serving six million people in the Central Valley and inland Northern California.
- Our faculty continues to generate worldwide honors and recognition for their work, both in the classroom and in their research.

#### [SLIDE 4 – MAKING AN IMPACT]

- To cite just one of many examples, three humanities faculty recently won fellowships from the American Council of Learned Societies, an impressive trio of awards for ongoing book projects on medieval French farces, Mark Twain and human rights in the Middle East.

- The three are Noah Guynn [GWIN], associate professor in the department of French and Italian; Hsuan Hsu [SHAUN SHOE], professor in the department of English; and Keith David Watenpaugh [WAHTEN-paw], associate professor in religious studies.
- We continue to be a sought-after destination for the best students. For fall quarter, we received a record **62,542 freshman and transfer applications, a 5.3 percent jump over last year.**
- Freshman applications alone were up **7.8 percent, and freshman applications from international students were up 99 percent.**

### [SLIDE 5 – ADVANCING EXCELLENCE]

- Our Campaign for UC Davis continues to make significant progress, with commitments now totaling **almost 790 million dollars from more than 89,000 donors.**

- We also received a number of notable gifts in the past year that will enable us to offer more to our students and make even greater contributions to our region, state and nation.
- We started “The Child Family Institute for Innovation and Entrepreneurship” thanks to a 5 million dollar gift from alumni Mike and Renee Child.
- In one of the largest gifts for the arts in our history, Napa winemaker Jan Shrem and Maria Manetti Shrem donated 10 million dollars for a new art museum near the Mondavi Center at the university’s south entrance.
- And in November, we held a ceremonial groundbreaking for the 8,000 square-foot Jess S. Jackson Sustainable Winery Building, made possible by a 3 million dollar gift from the late winemaker Jess Jackson and his wife Barbara Banke.

- I am pleased to also note that our popular new **Student Community Center** opened in the heart of the campus in January.
- It is a warm, vibrant home for a variety of student life programs and academic resources, a comfortable and welcoming place for students to study, collaborate and enjoy someplace that truly epitomizes our Principles of Community by celebrating and honoring diversity.

**[SLIDE 6 – RESEARCH POWERHOUSE]**

- On the research front, our Office of Research has taken a number of important steps to better protect intellectual property and enhance the transfer of UC Davis research to the marketplace through commercial ventures and products.
- Under our new Vice Chancellor for Research, Harris Lewin, the **Office of Research has been restructured to**

bring corporate relations, intellectual property protection and technology licensing under the same roof.

- We have brought on a new associate vice chancellor for Technology Management and Corporate Relations, and his team will fill six new positions in fiscal year 2012-13 to begin a New Venture Catalyst program.
- They will work closely with faculty innovators and others on campus to help spin out new ventures stemming from UC Davis faculty research.
- Vice Chancellor Harris Lewin also unveiled several major new initiatives that will be transformative in our potential for large-scale interdisciplinary research.

- We announced the Interdisciplinary Frontiers Program, which includes a sub-program in the sciences and engineering, known as RISE, and another for arts and humanities.
- These will provide faculty seed money of grants ranging from 100,000 dollars to one million dollars over three years, to help establish projects that can compete for major funding from government, private industry, philanthropic foundations and other sources.
- Within two months of the launch, the Office of Research received 115 RISE proposals from faculty on our campus, an impressive statement of the energy and innovation that exists at UC Davis.

**[SLIDE 7 DRIVING INNOVATION]**

- In February, we announced a new agreement between UC Davis and BGI of China, the largest and most prestigious genomics institute in the world, which will put UC Davis at the forefront of the revolution in the genomic sciences, with impact throughout our health and life sciences disciplines.
- The agreement will create an estimated 200 new jobs in the Sacramento region, complementing our goals to more aggressively promote regional and statewide economic development.

**[SLIDE 8 – RESEARCH POWERHOUSE]**

- UC Davis also distinguished itself as one of the few UC campuses increase its federal research awards for the second quarter of fiscal year 2011-12, growing an impressive 10.5 percent while most of the campuses experienced declines.

- If you look at the graphic on research funding, you'll see how well we are doing compared to everyone else.
- Overall, we are in the top ten for public research universities in the nation, with **\$684 million in research grants for fiscal year 2010-11.**
- We also saw great numbers in December with the release of our comprehensive economic impact report for UC Davis.

**[SLIDE 9 – BUILDING THE ECONOMY]**

- Conducted by the Sacramento-based Center for Strategic Economic Research, the report said UC Davis generates nearly **7 billion dollars a year in economic activity and accounts for 69,000 jobs.**

- For every dollar of goods and services the university produced, another \$1.10 to \$1.40 in secondary economic activity was generated in the region.
- We plan to do more on economic development and jobs creation for our graduates and others in the region with our stepped-up technology transfer efforts.
- We are moving forward with our first U-Hub at West Village, a collection of energy-related institutes to enhance interaction with the private sector and speed transfer of UC Davis innovations to the marketplace.
- And speaking of West Village, this net-zero energy community opened this year and benefitted from guidance from our faculty, specifically the Energy Efficiency Center.

- Now, let me switch gears and provide a brief update on two big campus initiatives: the search for a new athletic director and progress on our 2020 proposal.

[SLIDE 10 – INTERCOLLEGIATE ATHLETICS]

- First, let me say how much I appreciate the commitment and hard work on athletics by the Academic Senate's special committee on the topic.
- This is a complex issue and the committee has done a wonderful job explaining some of that complexity and identifying how the many moving parts must fit together to achieve excellence in our program.
- I appreciated being able to read the draft report posted on the website and look forward to reviewing the final version once it has been formally approved by the Senate.

- Here are a few initial reactions to what I have seen.
- First, I am confident there are parts of the Senate's report we can implement.
- There are other parts I will want to wait and work on with our new athletic director, once he or she has been hired.
- And there will be parts of the report that need more deliberation. I look forward to working with the Academic Senate on those once the report is final and I have had a chance to thoroughly review it.
- On the Athletic Director search itself, we have begun reviewing applications and hope to have finalists on campus in mid to late-May.

- We will also have an open forum for interested faculty, staff and students to attend, most likely with three to five finalists. And we expect to select a new athletic director by the end of June or early July.
- So let me thank you again for your time and efforts. There is more work to be done but this has been a productive and positive process. I am confident the outcome will be in the best interest of the entire UC Davis community.

**[SLIDE 11 – 2020 STATUS REPORT]**

- **On the 2020 Initiative, our three task forces for Academic Resources, Enrollment Management and Facilities Planning are continuing their work.**

- With the broad input they are receiving from the UC Davis community, they should have draft reports to the provost by the end of the academic year.
- We will then spend the summer refining our proposal and investigating the full range of issues concerning the feasibility of adding 5,000 undergraduates and 300 new faculty by 2020.
- Our motivation is to stabilize our financial situation in the face of declining state funding, to make our campus more international and to continue to capitalize on our existing infrastructure and past investments.
- To be an even greater university than we already are and be more accessible to more deserving students.

- But I want you all to know that collectively, the university will go down this path only if it is in the best interests of UC Davis and the broader community.
- If we should ultimately decide to move forward with the 2020 proposal, which is still in the early stages of review, it will be **consistent with our Vision of Excellence and offers the best way to get there.**
- Moreover, any growth would occur in stages, to allow for the needed resources to be added across the campus to address the needs of growing numbers of faculty and students - including more international students - and only after wide consultation and the input or approval of the appropriate committees, consistent with our traditions of shared governance.
- There is one more issue I would like to address, and it concerns something I have been talking about every chance I can.

[SLIDE 12 – ADVOCATING FOR EDUCATION AND THE PUBLIC MISSION OF THE UNIVERSITY]

- As you may know, I have pledged to personally and persistently advocate for the importance of preserving the public mission of our university.
- I do and continue to advocate for additional state and federal investment in our university to help ease the financial burden being placed on students and their families.
- To help us collectively determine the best advocacy strategies and models of access and affordability, the provost and I announced creation of a “Study Group on Accessibility and Affordability” that will be headed by Professor Ann Stevens, our economics chair and one of two directors of the new UC Davis Poverty Research Center.

- Also, in a letter I sent some time ago to members of the UC Davis community, I wrote about some of my efforts in this regard.
  
- In that letter, I listed three actions people can consider taking as a first step in becoming involved.
  - Sign up for Aggie Advocates, our grassroots e-advocacy program.
  
  - Send a message to legislators telling them it is simply not right to raise tuition and cut programs.
  
  - Recruit three other people - family, friends or colleagues - to do the same.
  
- This is a start. Over the coming months, I will have more to say about some of our advocacy initiatives and I invite your ideas and suggestions as well.
  
- But please keep in mind, we are at a pivotal moment.

- Soon, the Governor will come out with his revised budget for the next fiscal year.

[SLIDE 13 – 2011-12 REVENUES]

- We are hopeful it will include some of the money that has been cut from higher education.
- It also appears likely that there will be one or more measures on the ballot in November that could cause some of higher education's lost funding to be restored.
- You may recall that the Governor's January budget proposal, which was contingent on voter approval of his November proposal, some of our spending power would be restored, with an additional 90 million dollars going to UC Davis.

- But he has also said that if his ballot proposal fails, the UC system will be cut \$200 million. Our share at UC Davis would be \$30 million.

### [SLIDE 14 – REVENUES VERSUS FIXED COSTS]

- And as you can see from this graphic on our fixed costs for pensions, health care benefits and salary increases, even if our revenues were constant, we would have a significant shortfall well into the future.
- *Faculty Merit Cycle: ~\$4.0 million (core funds)*
- *UCRS: Each 1% increase in employer contribution:*
  - ~ \$3.5 million core funds
  - ~ \$12.1 million all funds
- *Faculty Pool: ~\$2.0 million for each one percent increase (core funds, all academic titles)*

- *Staff Pool: ~\$1.7 million for each one percent increase (core funds)*

### [SLIDE 15 – STAFFING LEVELS]

- You can also see from the graphic on staff FTE, that as we have dealt with declining state aid and reduced the size of our staff, we have done our best to keep as many positions as possible in the academic units where they serve our core academic mission of research and teaching.
- More cuts would be detrimental for everyone associated with UC Davis, but to be as well prepared as possible for whatever the future brings, we are proceeding with the first phase of our incentive-based budget model July 1.

- The initial steps will focus on unrestricted state funds, undergraduate tuition net of financial aid and indirect costs for research.

[SLIDE 16 – ORGANIZATIONAL EXCELLENCE]

- Our Shared Service Center has also helped us become more efficient.
- On February 14, 2012 we completed consolidation of finance, payroll and HR for 6250 administrative employees
- Initial budget savings of approximately 25%
- \$4 million in annual net savings
- Fifty-one administrative positions eliminated

- Integrated technology and streamlined process that can be leveraged by the academic community.
- No we need to make our voices heard outside this campus and let the Legislature and Governor know how we feel about reinvesting in higher education. The public must hear us, too.
- If we are silent, some may see that as a sign we don't feel this is important.
- My friends and colleagues, nothing could be further from the truth.
- California's three-tiered system of higher education has long been a national model.

- A visionary Master Plan made college accessible and affordable to thousands upon thousands of students who went on to build productive lives for themselves and their families.
- And they contributed to a prosperous and innovative California that set the pace for a robust national economy.
- The Master Plan was a partnership between institutions of higher learning, the public and our state.
- We must now convince the state to renew its part of the partnership and keep California heading in the right direction.
- We need to remind our elected leaders in Sacramento of the importance of reinvesting in higher education - how it pays off for our young people and for our state and nation, too.

- And we must stand with our students and their hard-working families and do everything in our power – through scholarships, philanthropy, revenue-producing research, advocacy and more – to help them get the education our outstanding faculty can provide.
- Thanks to all the great work from our faculty, staff and students, the admiration I have for everything UC Davis embodies has only intensified during my time as chancellor.
- And I know that winning formula will keep UC Davis strong, on the rise and a place that always does the best job possible for our students and for our state.
- Thank you very much.

Amendments from Athletics Report:

Pages 12 and 13 (UA = University Admissions Office):

Old version:

1. All ICA-ABE requests that are sent to Admissions also be sent to the Academic Senate for routing to the Undergraduate Council and the Committee on Admissions and Enrollment. We are not, at this point, recommending that either of those committees should be part of the decision chain, but we do believe that proper functioning of the system will be more likely if the Senate receives current information on ABE cases.

New version:

1. At the end of each calendar quarter, UA will prepare a report on the cases of all ABE applicants (ICA and non-ICA) that have been evaluated by UA and accepted for admission (with redactions necessary for privacy). This will be sent to the Academic Senate for informational purposes and routed to the Committee on Admissions and Enrollment and the Undergraduate Council. The report should include identifiers of the source of the ABE requests. The proper functioning of the system will be more likely if the Senate receives this information on ABE cases.

Old version:

4. The Academic Senate make explicit its heretofore implicit delegation to ICA of effective authority to make admissions decisions for UC-eligible student-athletes with a written specification of the procedure followed and the acceptable range of parameters within which it can operate.

New version:

4. Policy and processes on the admissions of prospective student athletes who are likely UC eligible and "sponsored" by ICA should be established. The decisions to admit those applicants rest with UA, and those applicants should be held to the standards for admission, as assessed through holistic review, that are used for the general applicant pool.

In consideration of NCAA National Letter of Intent signing dates and accepting that some recruitment of student athletes falls outside of the fall term, appropriate measures of flexibility on the timing of UA evaluation of ICA sponsored applicants should be identified and approved by the Academic Senate (through its representative committees) after consultation with ICA and UA.

# PROPOSED DAVIS DIVISION REGULATION 534

## COURSE EVALUATIONS

Submitted by the Committee on Elections, Rules and Jurisdiction

Endorsed by the Committee on Academic Personnel, Graduate Council, Undergraduate Council, and the Executive Council.

The proposed Regulation would mandate the use of course evaluations in courses determined by the Committee on Courses of Instruction and would specify that the course evaluation process would protect the privacy of the students and not compromise the confidentiality of the information with respect to the instructor.

It is proposed that the amendment to Regulation 534 be effective immediately.

### Rationale.

At present, there is no mandate for the collection of course evaluations by instructors. Although it is a nearly universal practice in a large class of courses, there are cases in which no evaluations are collected in these courses. (The exact class of courses would be determined by the Committee on Courses of Instruction.) Such evaluations are important for the control of the quality of courses and their instructors and should be required. The growing trend toward the use of electronic means of course evaluations poses a potential threat to the privacy of the evaluating student and hence the integrity of the process. Since the evaluations are integral to the academic personnel process, which is intended to be confidential, the instructor's confidentiality should also be protected. (There are cases such as those involving legal actions where access to evaluations becomes necessary.)

Proposed Revision: Davis Division Regulation 534 shall be amended as follows. Deletions are indicated by ~~strikeout~~; additions are in **bold type**.

### **534. Course Evaluations**

**In every course designated by the Committee on Courses of Instruction, all instructors must implement a course evaluation procedure in such a manner as to afford to each student the ability to evaluate the instructor and the course. Such evaluations shall be made available to the instructor after grades for the course have been submitted. The evaluation procedure shall, to the fullest extent possible, preserve the anonymity of the student and restrict the identification of the course instructor to authorized persons only, including the Committee on Academic Personnel and others involved in the academic personnel process and in the selection of course instructors.**

Representative Assembly Motion of Thanks:

On behalf of the Davis Division of the Academic Senate, the Representative Assembly commends Justice Reynoso and the Reynoso Task Force for their report on the November 18<sup>th</sup> incident. The time and effort needed to produce the report were significant and are appreciated by the Academic Senate. We specifically thank Justice Reynoso for his tenacity and determination to have as complete a report as possible released to the campus community.