

**Annual Report: Academic Year 2006-07
Davis Division: Academic Senate**

Committee on Research

<p>Total Meetings Policy: 9 Grants: 5</p>	<p>Meeting frequency Policy: Approx. 3 meetings/quarter Grants: Approx. 1 meeting/quarter as needed</p>	<p>Average hours of committee work each week: 1 hour</p>
---	---	--

<p>Total Grant Proposals Reviewed: Small Grants (2K): 219 Large Grants (10-50K) Insurance: 54 New Initiative: 24 Travel Grants (\$800): 419</p> <p>Research Grant Proposals Accepted for Funding in 2007-08: Small Grants (2K): 126 Large Grants (10-50K) Insurance: 6 New Initiative: 4 Travel Grants (\$800): 419</p>	<p>Total of reviewed grant proposals deferred from the previous year: 0</p>	<p>Total grant proposals deferred to the coming academic year: 0</p>
---	--	---

Listing of bylaw changes proposed: None.

Listing of committee policies established or revised:

Travel Grants: CoR received a large number of travel grant applications throughout the 2006-07 funding period resulting in a significant overspending of funds allocated for travel grants. All available buffer funds were used to pay off the shortfall and these are now depleted. In response to the increased travel grant spending; in June the CoR Grants subcommittee recommended a new travel grant policy for alternate year funding of travel grants. However, Provost Horwitz was able to significantly increase the travel budget and this alternate year funding policy is no longer in place. All faculty are eligible to apply for travel support annually.

New Initiative Grants: CoR implemented a policy that will require the faculty members that receive a new initiative grant to submit a status report to the committee letting them know if the project was successful. CoR also implemented a new Collaborative Interdisciplinary category for the New Initiative grants.

Small Grants: Assistant professors will have first priority. Professors of any rank who were denied a small grant the previous year will have second priority. Third priority will go to Associate and Full professors who are making normal progress in the merit cycle with the more junior faculty receiving the higher priority. The large number of Small Grant applicants and the limited availability of funds to support a reasonable proportion of applicants has necessitated this change.

Funding cutoffs for all programs will be determined by availability of funds. The committee will examine the policies again during the 2007-2008 academic year and will consider other revisions. Junior faculty continue to have top priority and emeriti/ae faculty members are lowest priority when funds have restricted availability.

Issues considered by the committee:

COR received and discussed the following reports and proposals from other Academic Senate or administrative committees and provided comments and responses for each of them as appropriate:

1. Inclusion of Federation faculty in CoR Policy
2. Proposed Policy on Stewardship of Electronic Information
3. Five Year Review of the Agricultural History Center ORU
4. Five Year Review of the Center for Health Services Research in Primary Care (CHSRPC) ORU
5. Emergency Pandemic Planning
6. Research Misconduct
7. Systemwide Ethics Training
8. Institutional Review Boards (IRBs)
9. Systemwide review of workgroup recommendations for MRU funds
10. Earmarked Federal Funds
11. Review of California Institutes for Science and Innovation (CAL ISIs)
12. PPM 240-01 Integrity in Research
13. Office of Research – Technology and Industry Alliances
14. Grants.gov
15. UC Faculty Scholarly Work Copyrights Policy
16. ORU Review and Approval Process – Office of Research
17. Proposed School of Public Health
18. Individual and Institutional Conflicts of Interest Involving Research
19. CoR Grants Policies
20. Use of customer surveys in the IACUC program
21. Effort Reporting Recommendations and presentation
22. Joint Working Group between Senate and Federation Committee on Research

23. Contracts and Grants (Sponsored Programs)

Recommended procedural or policy changes for the coming year:

Small Grants: Review and revise the small grant in aid award policy so that senior faculty members have an opportunity to receive an award. For the past few years, the committee has not been able to fund more senior faculty. Next year the small grant form will contain a box for the faculty members to fill in and indicate how many courses they routinely teach and the number of units associated with each course.

Travel Grants: The Committee on Research has implemented new procedures for Faculty Research Travel Grant Reimbursements. In the past, departments have charged the appropriate CoR account. To streamline the process and reduce the amount of travel paperwork flowing into the Senate office; departments will now be asked to set up DaFis accounts that will accept 19920 funds and the CoR analyst will transfer the \$800 into the appropriate account for each faculty member awarded a travel grant. The Committee on Research must require a post travel audit to assure the funds are expended for the intended purpose within the required 60 days after return.

Committee's narrative:

The Committee on Research Policy subcommittee dealt with a number of issues of great importance to the campus during the 2006-2007 academic year. The Committee on Research Chair attended Senate Executive Council meetings, Representative Assembly meetings, Provost Senate Chairs meetings, and had frequent updates with VC Klein and the Office of Research. The committee discussed and approved having an ex-officio member from the Academic Federation Committee on Research attend the Senate Committee on Research Policy meetings. The Committee on Research has elevated its involvement and influence as a Senate committee through greater involvement with the Office of Research. The Vice Chancellor for Research (or a representative from his office) regularly attends the Committee on Research Policy meetings and provides information and updates on campus and systemwide issues. The committee routinely invited various faculty members and officials from the campus and discussed and advised on policy issues important to research for example animal health care, IACUC customer surveys, contracts and grants, research misconduct, conflict of interest, technology and industry alliances, office of research issues, and the ORU approval process.

The Committee on Research Grants subcommittee awarded 126 (2K) Small Grants in Aid, 6 Insurance Grants, and 4 New Initiative/Collaborative Interdisciplinary Grants to Promote Extramural Funding. The committee also awarded 419 Research Travel Grants during the 2006-2007 academic year. The CoR staff analyst wrote a report and presented to the committee information about category of grant, number of grants and distribution across campus of faculty awardees for the 04-05, 05-06, and 06-07 grant years. The relative distribution of monies across campus remained consistent throughout the 3 year

period reported on with an approximately 50/50 distribution between the “hard” and “soft” disciplines. The most significant change was in the number of travel grant applications.

The committee also revised the reimbursement policy for travel grants due to the MyTravel system not being designed for the volume of travel grants the Committee on Research awards on a daily basis. The new travel grant reimbursement policy is indicated below:

**Committee on Research: Faculty Research Travel Grant
Reimbursements Policy (Directive 07-052)**

The features available in MyTravel do not provide a mechanism for management of Academic Senate, Committee on Research travel grant awards. Thus, the process for paying travel grant awards will no longer be facilitated through review of a paper Travel Expense Voucher (TEV). All awards paid after July 1, 2007, will receive travel grant funding through a transfer of funds through the Current Budget document in DaFIS as follows:

- 1. Departments will be asked to provide an account number as part of the travel grant award notification process. The account provided needs to accept 19920 funding.*
- 2. The travel grant will be transferred to the account, SUB 5, before the departure date.*
- 3. Travel expenses must be charged to the same account as the travel grant funding is transferred.*

The Committee on Research must require a post travel audit to assure the funds are expended for the intended purpose within the required 60 days after return. Therefore, Academic Senate Office staff will conduct the post travel audit to ensure funds were expended to the conference awarded, research is being presented and, also to ensure that funds are being used for allowable expenses per University policy.

The funds must be expended within 60 days of the return date or the funds will be transferred (by Academic Senate staff) back to the travel grant account for award to another faculty member (after the departmental MSO is notified of the 60-day expiration and intended reversal). All travel grant fund transfers must take place before the departure date of the travel. Travel grants will not be awarded or funded after travel has occurred.

There are some policies that the Grants subcommittee is considering revising for the 2008-2009 year due to budgetary constraints. The committee considered several different ways to change the travel grant program mainly because of the increasing number of applicants and the potential for a disproportionate amount of COR funding going into travel at the expense of other programs. Overall, the

Committee on Research grants program stayed within budget and the system of awarding the grants has become much more efficient. There has been positive feedback on the new system from faculty, staff, and administration.

Respectfully submitted,

CoR Grants Subcommittee

Marion Miller, Chair

Katharine Burnett

William Hagen

Lynette Hunter

Suad Joseph

Neil Larsen

Benjamin Morris

Sharman O'Neill

Kathryn Olmsted

Ning Pan

Stefano Varese

CoR Policy Subcommittee

Marion Miller, Chair

Robert Berman

James Carey

Nipavan Chiamvimonvat

Michael Delwiche

Thomas Holloway

Cheuk-Yiu Ng

Jon Jay Ramsey

Alice Tarantal

Anthony Wexler

Keith Widaman

Al Tramontano, AF Representative

Barry Klein, Ex-officio