# Annual Report: Academic Year 2012/2013 Davis Division: Academic Senate Library Committee

CHARGE: It shall be the duty of this committee to advise the Chief Campus Officer regarding the administration of the Library on the Davis campus, in accordance with the Standing Orders of the Regents, to advise the University Librarian regarding removal and storage of library holdings, and to perform such other duties relative to the Library as may be committed to the Senate by proper authority. The committee shall report at least once a year to the Representative Assembly. (Am. 6/10/93; effective 1/1/94)

#### MEMBERSHIP:

Brian H. Kolner, Chair Electrical & Computer Eng. Representative to UCOLASC Women & Gender Studies Alternate Rep. to UCOLASC Maxine B. Craig Academic Federation Rep. Anita Oberholster Viticulture and Enology Civil & Environmental Engineering Natarajan Sukumar Engineering Rep. Shelley A. Blozis Letters and Science Rep. **Psychology** Rebecca Ambrose School of Education School of Education Rep. Robert S. Marquez Grad. School of Management Grad. School of Management Rep. Alla Fomina Physiology & Membrane Bio. School of Medicine Rep. Jennifer Ann Laresen Medicine & Epidemiology Veterinary Medicine Rep. Political Science **ASUCD Representative** Gareth Smythe Iordan S. Carroll **Graduate Student Representative English** John P. Hunt Law School Representative Law School Jarue S. Manning Microbiology & Molecular Genetics College of Biological Sciences Rep. MacKenzie Smith Ex-Officio University Librarian Solomon Bekele Academic Senate Office Resource Analyst

FREQUENCY OF MEETINGS: Once per quarter, additionally if necessary.

#### PRINCIPAL ISSUES CONSIDERED:

**Nature Publishing Group Subscription Costs.** The negotiations with NPG are ongoing and the situation is largely unchanged at this time from last year:

It was reported last year to the campuses through the University Committee on Library and Scholarly Communication (UCOLASC) that *Nature Publishing Group* (NPG) was going to substantially increase the cost of subscription to the University of California for the package of more than 60 journals to which we subscribe. Although the UC negotiates subscription prices either on an individual campus basis or collectively (as in this case), the indicated increase was deemed so outrageous that the Chair of UCOLASC (Professor Richard Schneider, UCSF) and several representatives from the California Digital Library (CDL) and UCOP began negotiations with the NPG to find a mutually acceptable solution to this dilemma. As of May 2012, negotiations are still in progress with a new subscription model being developed that will preserve access to the desired *Nature Group* publications without incurring the large increase in cost originally planned. Owing to contractural limitations, the details of these negotiations cannot be made available to the faculty yet. However, the good news is that our current subscription contract has been extended and thus we maintain access to all previous NPG publications.

**Open Access.** The main focus of the activities of the Library Committee during the 2012/2013 academic year surrounded the proposed *UC Open Access Policy*. The policy has been evolving under the leadership of UCOLASC chairs Richard Shneider (UCSF) and Christopher Kelty (UCLA) over the last three years. An important component to the evolution of the proposed policy was feedback from scholars

on all 10 UC campuses. A preliminary version of this policy was circulated to the 10 campuses via the UCOLASC representatives in the spring of 2012. The UC Davis Senate Library Committee (SCL) asked the Senate Executive council that the policy, with explanatory material, be posted on a discussion forum for all researchers to read and comment. This was implemented

http://academicsenate.ucdavis.edu/Forums/index.cfm?Forum\_ID=67

and the comments and general tone of the Davis response was fed back to the Chair Kelty of UCOLASC. With feedback from all 10 campuses, Chair Kelty revised the proposed policy and presented it to the July 25th Academic Council (AC) meeting. Academic Council was very supportive and asked that it be sent to all 10 campuses for review. Academic Council Chair Anderson encouraged all campuses to discuss this important document widely, in town hall meetings, Academic Senate and Council meetings, etc., with feedback due early in January of 2013. SLC Chair Kolner held a town meeting to present the proposed policy, field questions and generally get input from faculty on November 30, 2012. Following this another white board forum was set up for discussion and airing of opinions on the proposed policy:

http://academicsenate.ucdavis.edu/forums/index.cfm?forum\_id=70

Following these events AS Chair Bruno Nachtergaele wrote a formal response to systemwide Council and SLC Chair Kolner wrote a report to UCOLASC on the general feelings from the Davis campus. On July 24, 2013, Academic Council voted to adopt the policy. Details of how it will be rolled out and implemented with additional information may be found at

http://osc.universityofcalifornia.edu/openaccesspolicy/

The final draft of the policy is as follows:

# Open Access Policy for the Academic Senate of the University of California Adopted 7/24/2013

#### **Preamble**

The Faculty of the University of California is committed to disseminating its research and scholarship as widely as possible. In particular, as part of a public university system, the Faculty is dedicated to making its scholarship available to the people of California and the world. Furthermore, the Faculty recognizes the benefits that accrue to themselves as individual scholars and to the scholarly enterprise from such wide dissemination, including greater recognition, more thorough review, consideration and critique, and a general increase in scientific, scholarly and critical knowledge. Faculty further recognize that by this policy, and with the assistance of the University, they can more easily and collectively reserve rights that might otherwise be signed away, often unnecessarily, in agreements with publishers. In keeping with these considerations, and for the primary purpose of making our scholarly articles widely and freely accessible, the Faculty adopts the following policy:

#### **Grant of License and Limitations**

Each Faculty member grants to the University of California a nonexclusive, irrevocable, world-wide license to exercise any and all rights under copyright relating to each of his or her scholarly articles, in any medium, and to authorize others to do the same, for the purpose of making their articles widely and freely available in an open access repository. Any other systematic uses of the licensed articles by the University of California must be approved by the Academic Senate. This policy does not transfer copyright ownership, which remains with Faculty authors under existing University of California policy.

#### **Scope and Waiver (Opt-Out)**

The policy applies to all scholarly articles authored or co-authored while the person is a member of the Faculty except for any articles published before the adoption of this policy and any articles for which the Faculty member entered into an incompatible licensing or assignment agreement before the adoption of this policy. Upon express direction by a Faculty member, the University of California will waive the license for a particular article or delay access to the article for a specified period of time.

### **Deposit of Articles**

To assist the University in disseminating and archiving the articles, Faculty commit to helping the University obtain copies of the articles. Specifically, each Faculty member who does not permanently waive the license above will provide an electronic copy of his or her final version of the article to the University of California by the date of its publication, for inclusion in an open access repository. When appropriate, a Faculty member may instead notify the University of California if the article will be freely available in another repository or as an open-access publication. Faculty members who have permanently waived the license may nonetheless deposit a copy with the University of California or elsewhere for archival purposes. Notwithstanding the above, this policy does not in any way prescribe or limit the venue of publication. This policy neither requires nor prohibits the payment of fees or publication costs by authors.

## **Oversight of Policy**

The Academic Senate and the University of California will be jointly responsible for implementing this policy, resolving disputes concerning its interpretation and application, and recommending any changes to the Faculty. Any changes to the text of this policy will require approval by both the Academic Senate and the University of California. The Academic Senate and the University of California will review the policy within three years, and present a report to the Faculty and the University of California.

The Faculty calls upon the Academic Senate and the University of California to develop and monitor mechanisms that would render implementation and compliance with the policy as convenient for the Faculty as possible.

Respectfully submitted by Brian H. Kolner, August 23, 2013