

**Annual Report: Academic Year 2010-11
Davis Division: Academic Senate**

Committee on Research

<p>Total Meetings COR Policy: 9 COR Grants: 5</p>	<p>Meeting frequency COR Policy: Approx. 3 meetings/quarter</p> <p>COR Grants: 1-2 meetings in fall quarter and 2-3 meetings in the spring quarter</p>	<p>Average hours of committee work each week: 4 hours</p>
---	--	--

<p>Total Grant Proposals Reviewed: Small Grants (2K): 169 Large Grants (10-25K) New Initiative/Collaborative Interdisciplinary: 74 Travel Grants (\$800): 354 (FY 2010-11)</p> <p>Research Grant Proposals Approved for Funding in 2011-12: Small Grants (2K): 110 Large Grants (10-25K) New Initiative/Collaborative Interdisciplinary: 10 Travel Grants (\$800): 354 (FY 2010-11)</p>	<p>Total of reviewed grant proposals deferred from the previous year: 0</p>	<p>Total projects deferred to the coming academic year: None.</p>
---	--	--

Listing of bylaw changes proposed: **Davis Division Bylaw 99 (Committee on Research): The proposed amendment changes the structure of the Committee on Research by consolidating the two subcommittees into a single committee, and a subcommittee of which would review grant applications. The subcommittee would consist of the voting Senate members of the parent committee.**

Listing of committee policies established or revised: ***Funding cutoffs for all programs will be determined by availability of funds. The committee will examine the policies again during the 2011-2012 academic year and will***

consider other revisions.

Issues considered by the committee:

COR received and discussed the following reports and proposals from other Academic Senate or administrative committees and provided comments and responses for each of them as appropriate:

1. Management of Animals used in Teaching and Research
2. PPM 200-45: HR and Finance Shared Service Center
3. UC Davis-Mars Expanded Strategic Research Relationship Proposal
4. Composite Fringe Benefit Rates
5. F&A Rate Proposal
6. PPM 290-70: Controlled Substances Policy
7. UCTV/UC Seminar Network
8. Revision to Davis Division Bylaw 99
9. COR Budget Reductions
10. Request to Move COR Funding out of 19900 (state funding)
11. Request from Graduate Student
12. Office of Research reorganization
13. Kuali Coeus
14. Research Recommendations Implementation Task Force (RRIC)
15. Release of Inventions
16. Elimination of Cost Sharing
17. Letter from Chancellor Katehi regarding Research Investments
18. "Branding" for UC Davis

Recommended procedural or policy changes for the coming year: None.

Committee's narrative:

The Committee on Research Policy subcommittee dealt with a number of issues of substantial importance to the campus during the 2010-2011 academic year. The Committee on Research Chair attended Senate Executive Council meetings, Representative Assembly meetings, Provost Senate Chair's meetings, and had frequent updates with Vice Chancellor Klein (fall quarter), Interim Vice Chancellor Stan Nosek (winter quarter), Vice Chancellor Lewin (spring quarter), and other representatives from the Office of Research.

The Vice Chancellor for Research (or a representative from his office) regularly attended the Committee on Research Policy meetings and provided information and updates on campus and systemwide issues, including the ongoing reorganization of Sponsored Programs. The committee routinely invited various faculty members and officials from the campus for discussion and advice on policy issues important to research, including the review of ORU's, effort reporting, technology transfer, extramural accounting, graduate programs, the performance and reorganization of the office of research, and campus-wide budget issues that affect research programs at UC Davis.

Important COR Items Discussed/Reviewed During 2010-11:

2011-2012 COR Grant Awards

The Committee on Research Grants (CoRG) subcommittee awarded 110 Small Grants in Aid and 10 New Initiative/Collaborative Interdisciplinary Grants to Promote Extramural Funding. The committee also awarded 354 Research Travel Grants during the 2010-2011 academic year. The relative distribution of monies across campus remained consistent with an approximately 50/50 distribution between the “hard” and “soft” disciplines. Travel grants remain the first priority of the grants program.

Committee on Research Budget

As a result of reductions in the UC Davis budget, the budget for the Committee on Research was reduced by 8%. This was for the current 2010-11 fiscal year and also a permanent budget reduction for the COR budget. This resulted in a **\$79,593.00** reduction in the COR Grants budget for 2010-2011. Fortunately, the Committee on Research budget was able to absorb these cuts this year by reducing the total number of grants awarded. Overall, the Committee on Research grants program was able to stay within budget. However, further budget reductions of this magnitude will make it nearly impossible for the committee to sustain its grant programs. Over the last four academic years, the Committee on Research budget has been reduced by more than **\$280,000.00**.

Approved Revisions to Davis Division Bylaw 99

The proposed amendments to Davis Division Bylaw 99 were approved by the Representative Assembly on June 3, 2011. The approved amendments change the structure of the Committee on Research by consolidating the two subcommittees into a single committee, a subcommittee of which would review grant applications. The subcommittee would consist of the voting Senate members of the parent committee. The rationale for the amendment was that it would reduce staffing needs by consolidating two separate committees. The change will also reduce the workload of the Committee on Committees, who will have ten fewer members to recruit. In addition, there has been difficulty in the past recruiting members of the COR Grants committee because they are not eligible to compete for non-travel research grants due to conflict of interest.

As stated in the original Bylaw amendment proposal, the amendment would become effective September 1, 2012. However, it was determined that the Committee on Committees already recruited members for each separate committee (COR Policy and COR Grants) for the 2011-2012 academic year. To resolve this issue, a motion was proposed and approved during the June 3, 2011 Representative Assembly meeting. The motion stated that the amendment of DDB 99 be effective immediately, upon adoption, with the exception that for the 2011-2012 academic year only, the membership of the committee be no more than 21, the initial 21 members being those who have been approved for

membership on the committee, and whose terms of service are to be determined by the Committee on Committees. The motion was voted on and approved so the 2011-2012 Committee on Research membership will be a combination of both committees (those appointed to COR Policy and COR Grants for 2011-12) with a total of no more than 21 members.

Composite Fringe Benefit Rates

The Committee on Research Policy learned in January 2011 that the campus was proposing to change the benefit policies for employees and institute composite fringe benefit rates. On March 23, 2011, the Committee on Research Policy met with Director of Cost Policy and Analysis, Mike Legrand (Accounting and Financial Services) to discuss the plan to institute composite fringe benefit rates on grant proposals. Initially, the committee had several concerns. One main concern was the transition costs for existing grants. The committee noted that a change in benefit policy for existing grants that had already been negotiated and signed by both the faculty and the federal government would randomly add or subtract direct cost dollars to the PI's account that he/she was unable to plan for. After considering the potentially serious concerns regarding the new composite fringe benefit rates, COR Policy agreed to send a letter stating the concerns to Provost Hexter. In addition, the composite fringe benefits issue was brought forward by COR Policy for discussion at a Provost/Senate Chairs meeting where Provost Hexter supported a policy that would "hold harmless" PI's who were negatively affected by the change in policy. COR Policy strongly agreed that this was highly importance to avoid potential laboratory shut downs or unplanned negative grant balances caused by the policy change.

In a letter dated Mary 19, 2011 to CODVC; Provost Hexter wrote that he would support a program to provide supplemental funding to mitigate or offset the impacts for PI's negatively affected by the composite benefits policy change. The proposal from the Provost was made in good faith with the understanding that the change in benefits policy represents the following long term advantages: (1) provide a long term improvement and consistent accumulation and allocation of fringe benefits expenses required by Cost Accounting Standards, and (2) simplify the accounting for fringe benefits expenses and standardize benefit costs across employee groups.

UCTV/UC Seminar Network

On May 25, 2011, COR Policy met with Professor Jim Carey regarding the UC Seminar Network and UCTV. Professor Carey discussed the initiative and provided a demo of the UCTV technology for the committee. CORP fully endorsed the use of this technology and agreed that UC Davis should be given credit for the launch of this new technology as the campus will realize many benefits in research, teaching, and outreach. CORP agreed to send a letter of support to Chair Powell stating that many of the committee members had already thought about recording their lectures as a feedback tool for teaching and the UCTV technology would work very well to fill that role.

Committee on Research Funding

During the 2010-11 academic year, the Committee on Research-Grants discussed funding sources for the Committee on Research (COR) grant programs. The Academic Senate Committee on Research grant program is funded currently from indirect cost return from state funded contract and grants (19920). In addition, the committee is concerned because UC Davis appears to be the only campus that receives funding from a state-funded source for the Committee on Research grant programs. Over the last four fiscal years, COR has experienced a total of approximately 32% in budget reductions. This totals approximately \$280,000.00. COR Grants is concerned that as long as the funding is from a state source (19920), the program will be inappropriately targeted for future permanent budget reductions. Additional reductions will severely impact the Divisional Senate's ability to meet the membership's need for research funding. In April 2011, the committee sent a letter to Chair Powell requesting that COR funding be reallocated to a non-state source.

Respectfully submitted,

CoR Grants Subcommittee

David Fyhrie, Chair
Gino Cortopassi
David Hwang
Judy Jernstedt
Marjorie Longo
Nelson Max
Sally McKee
Dan Ragland
Gerhard Richter
Ed Taylor
Xiangdong Zhu
Kimberly Pulliam, Analyst

CoR Policy Subcommittee

David Fyhrie, Chair
Gino Cortopassi, Vice Chair
Zhaojun Bai
Kent Erickson
Oscar Jorda
Lynn Kimsey
Mike Kleeman
Mark Matthews
Jade McCutcheon
Chris Miller
Marty Privalsky
Subhash Risbud
Jeff Walton, AF Representative
Harris Lewin, VC for Research, Ex-officio
Kimberly Pulliam, Analyst